

Guarantee Advice Internal Amendment User Guide
**Oracle Banking Trade Finance Process
Management**

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Oracle Banking Trade Finance Process Management - Guarantee Advice Internal Amendment User Guide
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Contents

Oracle Banking Trade Finance Process Management	1
Overview.....	1
Benefits.....	1
Key Features	1
Guarantee Advice Internal Amendment	2
Common Initiation Stage.....	2
Registration.....	3
Application Details	5
Guarantee Details.....	6
Miscellaneous.....	9
Document Linkage.....	10
Bi-Directional Flow.....	14
Data Enrichment	14
Main Details.....	17
Additional Fields	20
Advices	23
Additional Details.....	27
Settlement Details	39
Data Enrichment - Summary	40
Multi Level Approval.....	42
Handoff:	45
Reference and Feedback	47
References.....	47
Documentation Accessibility.....	47
Feedback and Support.....	47

Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance Middle Office Platform, which enables Bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps Banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during Transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Advice Internal Amendment

Guarantee Advice Internal Amendment allows the user to change the Limit/ Cash collateral details or to capture the MT 768 acknowledgment details received from Advise through bank.

Limits and Cash Collateral change are usually done when the Advising Bank or Advise through bank has confirmed the SBLC and in such cases the Limits/ Cash Collateral maintained by Issuing Bank is changed.

Following data can be changed during Internal Amendment

- Line ID
- CASA account for Cash Collateral
- Charges Simulated on account of this task
- Suppress of Advices generated on account of this task

Data received on account of Incoming MT 768 need to be captured as received.

This chapter contains the following topics:

[Common Initiation Stage](#)

[Registration](#)

[Data Enrichment](#)

[Multi Level Approval](#)

Common Initiation Stage

The user can initiate the new guarantee advise internal amendment request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.

Field	Description
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

As a Registration user, you can register an internal amendment to a Guaranteed/SBLC Advised request, also can upload relevant documents and verify checklist items. If the request is received by mail/Courier, you can update the request.

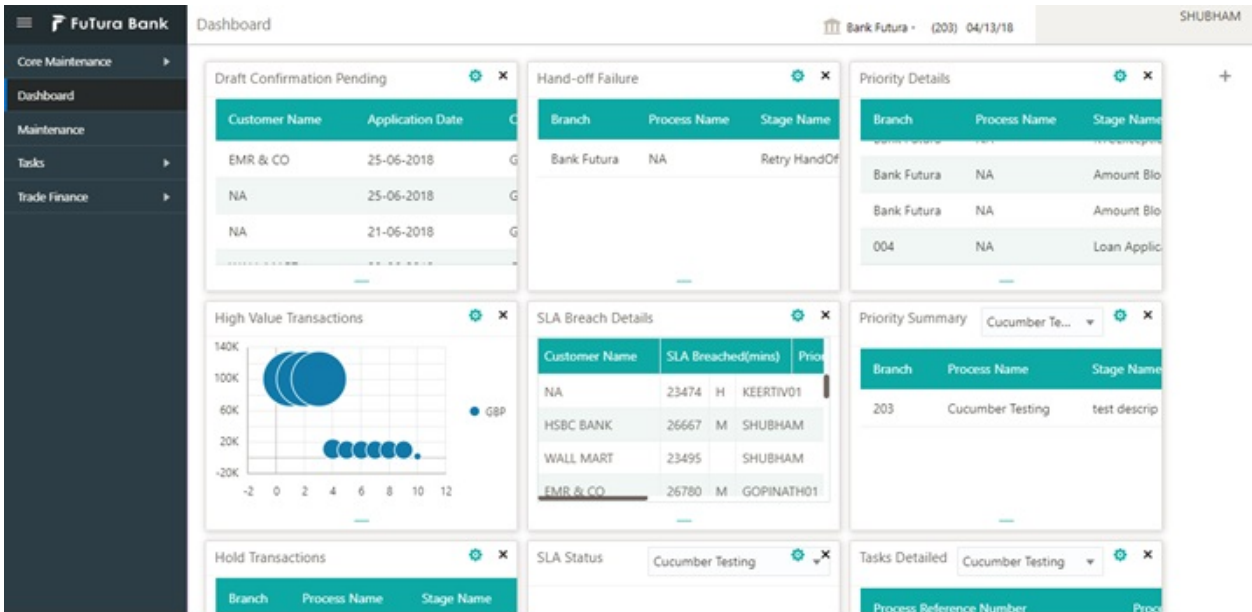
The OBTFPM user can process MT798 with sub messages MT726-MT759 message received through SWIFT. The OBTFPM verifies the field 21 and 26E (of the MT759 and identifies the Original Contract Reference Number and Amendment Number and invokes the process. The user can cancel the previously received MT798 referenced message which is under process.

The OBTFPM user can process incoming MT798(up to a maximum of 8 messages) with sub messages MT788-MT799 message received through SWIFT and enables the user to cancel the previously received MT798 referenced message which is under process.

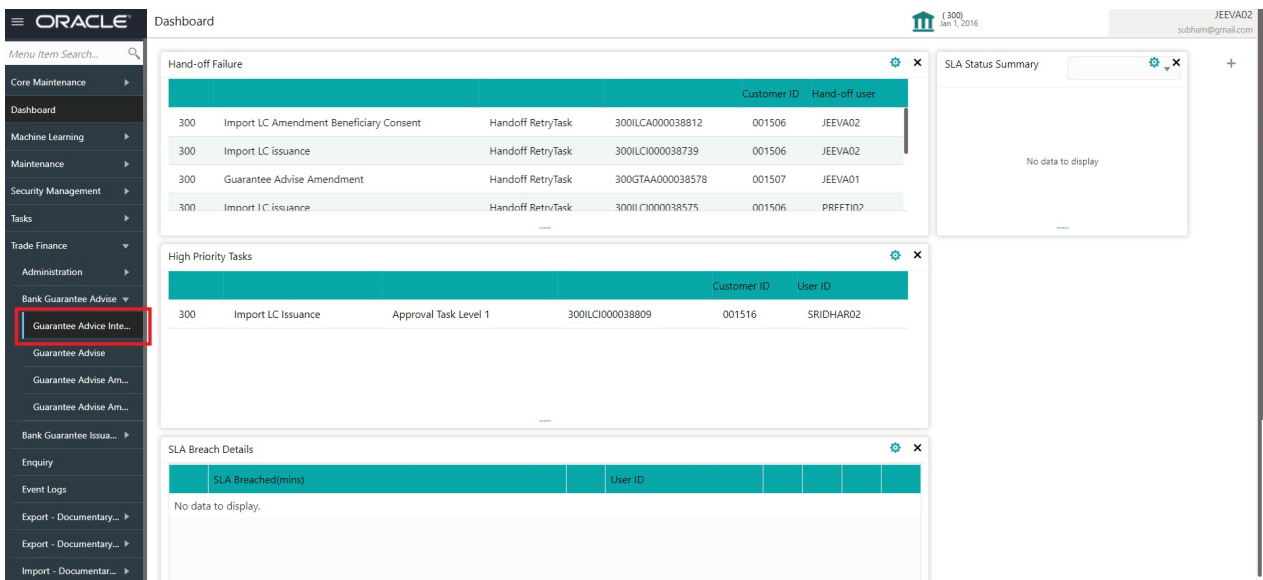
1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

The screenshot shows a dark-themed login interface for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' containing masked characters. At the bottom, there are two buttons: a prominent green 'Sign In' button and a smaller 'Cancel' button below it.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Bank Guarantee Advise > Guarantee Advice Internal Amendment.



The Registration stage has two sections Application Details and Guarantee Details. Let's look at the Registration stage:

In case of STP of incoming SWIFT MT 768, a task should be directly created in Data Enrichment Stage after the required business validations and Registration stage is not applicable.

Application Details

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	Enter the advising bank reference number or alternatively select it from LOV'. As part of LOV criteria; user can input the Customer Id, Beneficiary name, Currency and amount.	
Beneficiary	Read only field. Beneficiary name is auto-populated from Guarantee /SBLC Advise.	001345
Branch	Read only field. Branch Name will be auto-populated from Guarantee /SBLC Advise.	203-Bank Futura -Branch FZ1
Priority	This field will be defaulted based on the priority maintained for the customer. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High
Submission Mode	Select the submission mode of Guarantee Advice Internal Amendment request. Desk- Request received through Desk Courier- Request received through Courier Email - Request received through Email	Desk
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEADV0015920

Field	Description	Sample Values
Issuer	Read only field. System defaults the Issuing Bank as per the Guarantee Advice details.	
Issuing Bank Reference	Read only field. System defaults the Issuing Bank reference from Guarantee Advice details.	Advising Bank Reference
Amendment Date	System defaults the branch's current system date.	04/13/2018

Guarantee Details

Registration user can view the latest LC values defaulted in the respective fields in the Guarantee Details in this section. All the fields in the Guarantee Details section is read only.

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Read only field. System defaults the value from Guarantee Advice details.	
Undertaking Number	Read only field. System defaults the value from Guarantee/ SBLC Advise.	
Product Code	Read only field. System defaults the value from Guarantee/ SBLC Advised.	GUIA
Product Description	Description of the product. Read only field. System defaults the value from Guarantee/ SBLC Advised.	Guarantee Advising
Undertaking Amount	Read only field. System defaults the outstanding value available from Guarantee/ SBLC Advise.	

Field	Description	Sample Values
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Type of Undertaking	Read only field. System defaults the value from Guarantee/ SBLC Advised.	ADVP
Purpose of message	Read only field. System defaults the value from Guarantee/ SBLC Advised.	
File Identification	The type of delivery channel and its associated file name or reference. Read only field. System defaults the value from Guarantee/ SBLC Advised.	
Narrative	Read only field. System defaults the value from Guarantee/ SBLC Advised.	
Applicable Rules	Rules for Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Advised.	URDG - Uniform rules for demand guarantees
Narrative	Read only field. System defaults the value from Guarantee/ SBLC Advised.	
Applicant Name	Read only field. System defaults the value from Guarantee/ SBLC Advised.	001345 Nestle
Beneficiary Name	Read only field. System defaults the beneficiary from Guarantee/ SBLC Issuance. User can modify the beneficiary if required.	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank from Guarantee/ SBLC Advised.	
Additional Amounts	Read only field. Additional Amount covered as per the latest LC details is displayed in Guarantee Advised.	
Expiry Type	Validity of the guarantee. Read only field. System defaults the value from Guarantee/ SBLC Advised.	

Field	Description	Sample Values
Date Of Expiry	Expiry Date of Guarantee. System defaults the value from Guarantee/ SBLC Advised.	09/30/18
Expiry Condition/Event	Expiry Date of Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Advised.	09/30/18
Obligor/Instructor Party	The party obligated to reimburse the issuer.	
Auto Close	Toggle On: Enable the toggle, if Auto close is required for that transactions. Toggle Off: Disable the toggle, if Auto close is not required for that transactions.	
Closure Date	System default the "Closure Date" value, if any, from the contract. If the system defaulted value for Auto Close is Yes , then Closure Date field will be a display only field and user is not allowed to edit the same. If the system defaulted value for Auto Close is No , then user can edit the Closure Date field by enabling the "Auto Close" toggle as "Yes". User can provide the value in this field, if Auto Close is enabled as a part of this internal amendment.	

Miscellaneous

Guarantee Advise Internal Amendment

View Signature Documents Remarks Customer Instruction

Application Details

Advising Bank Reference Number: PK2GUAD211250002

Submission Mode: Desk

Amendment Date: May 5, 2021

Beneficiary: 001044 GOODCARE PLC

Process Reference Number: PK2GTAI000007157

Branch: PK2-Oracle Banking Trade Finan...

Issuer: 003763 CITIBANK IRELA

Priority: Medium

Issuing Bank Reference: dffddgd gfhgjj

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

22D - Form of Undertaking: DGAR - Guarantee

32B - Undertaking Amount: GBP £11,000.00

23X - File Identification

50 - Applicant Name: 001043 MARKS AND SPI

23B - Expiry Type: COND

20 - Undertaking Number

Amount In Local Currency: GBP £11,000.00

23X - Narrative

59A - Beneficiary Name: 001044 GOODCARE PLC

31E - Date of Expiry: Aug 3, 2021

Product Code: GUAD

22K - Type of Undertaking

40C - Applicable Rules: URDG - Uniform rules for dema...

56A - Advising Bank

35G - Expiry Condition/ Event: dfggf

Product Description: Guarantee Advising

22A - Purpose of Message: ADVI - Advice of issued underta...

40C - Narrative

39D - Additional Amounts

51 - Obligor/ Instructing Party

Hold Cancel Save & Close Submit

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is required, system should display all the signatures.</p>	
Documents	Upload the required documents. E.g.: Guarantee/SBLC Application, Indemnity, Counter Guarantee	
Remarks	Provide any additional information regarding the Guarantee Advise Amendment. This information can be viewed by other users processing the request.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	

Field	Description	Sample Values
View Guarantee	Clicking on View Guarantee button, user can view the the snapshot of latest Guarantee Advised details.	
Guarantee/ SBLC Events	Clicking on Guarantee Events button, user can view the snapshot of various events under the Guarantee Advised.	
Action Buttons		
Submit	On Submit, system will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advise Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Guarantee Advise Amendment. Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	System displays the mandatory and optional checklist items. Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

Document Linkage

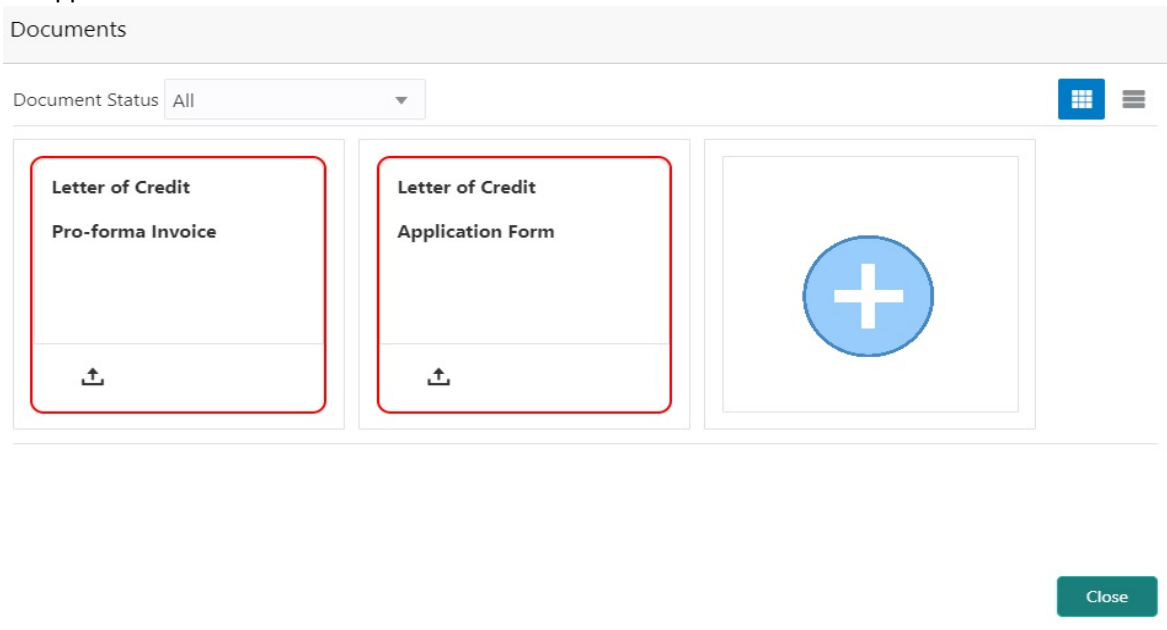
The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.

2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



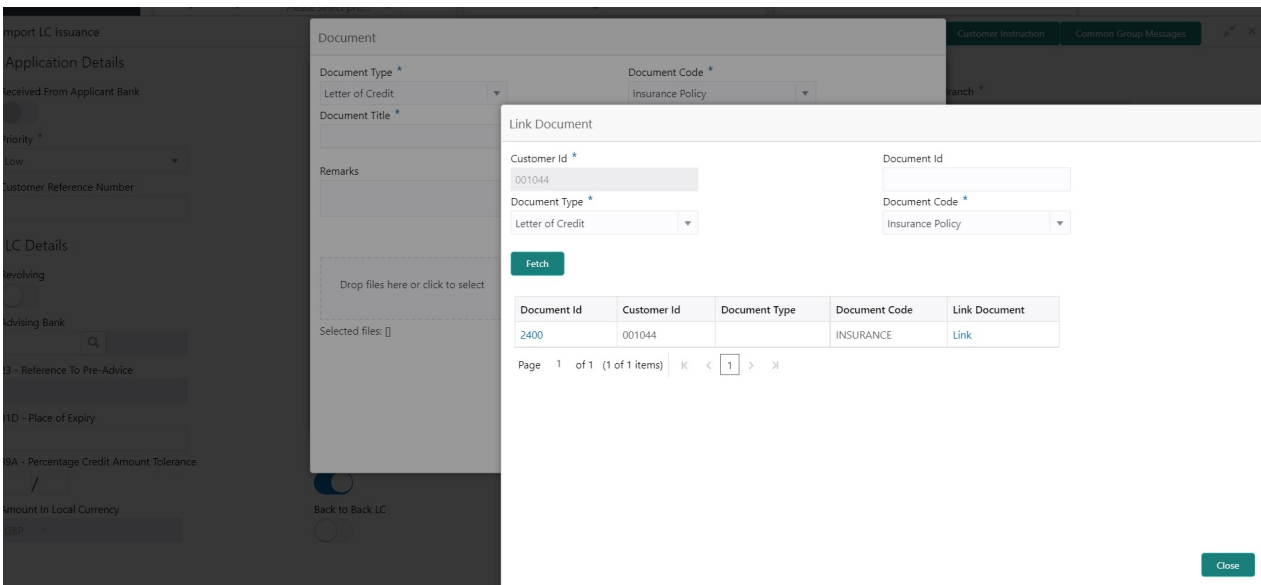
3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	

Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

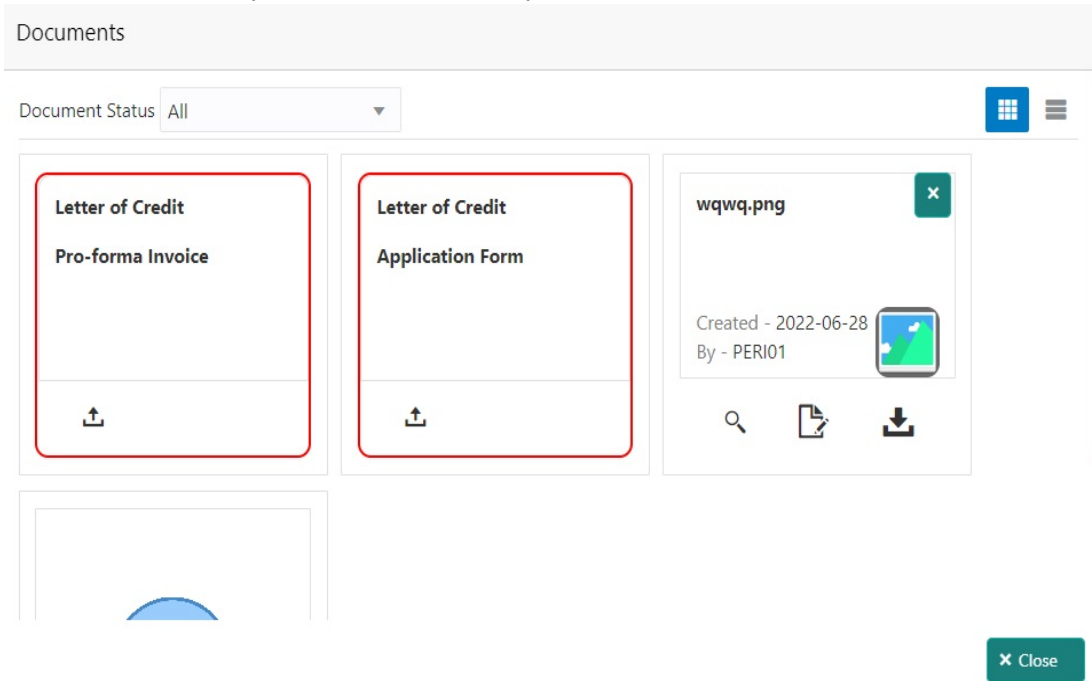


5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	

Field	Description	Sample Values
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.



Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

The 'Edit Document' form contains the following fields:

- Document Id: 2400
- Document Title: wqwq
- Application Reference Number: PK2ILCI000019041
- Entity Reference Number: PK2ILCI000019041
- Document Type Id: TFPM_DOCTYPE001
- Document Description: (empty text area)
- Remarks: (empty text area)
- Document Expiry Date: Jun 29, 2022

At the bottom, there is a dashed box with the text 'Drop files here or click to select' and 'Current selected files: []'. 'Update' and 'Cancel' buttons are located at the bottom right.

Bi-Directional Flow

1. In OBTFPM, user clicks on **Request Clarification**, the system checks if the request is initiated from OBDX by validating the value available in the submission mode field is “Online”. In case submission mode is “Online”, the user can enter the clarification details in “Clarification Required” placeholder.
2. In case submission mode is not “Online”, the system will validate if the counterparty is a OBDX customer by checking the flag “Trade Finance Portal” in the Customer Maintenance table replicated from OBTF. In this case, the user can submit clarification.
3. In case submission mode is not “Online”, and if the “Trade Finance Portal” flag is set to ‘No’ in Customer Maintenance Table, the system should display the error message that ‘The customer is not subscribed to Trade Finance Portal’.
4. Once the request is submitted, the Request Clarification functionality would be applicable to offline initiated transactions also.

Data Enrichment

At this stage you can register a request for Internal Amendment of Guarantee/SBLC Advised.

As part of Data Enrichment, you can update the details already captured in Registration stage. If details are not captured in DE stage, you can input the details.

If the request is received by mail/Courier, the user can update the request. The request will have the details entered during the Registration stage.

If the request is received by SWIFT, then the task needs to be auto created and available for the user to handle.

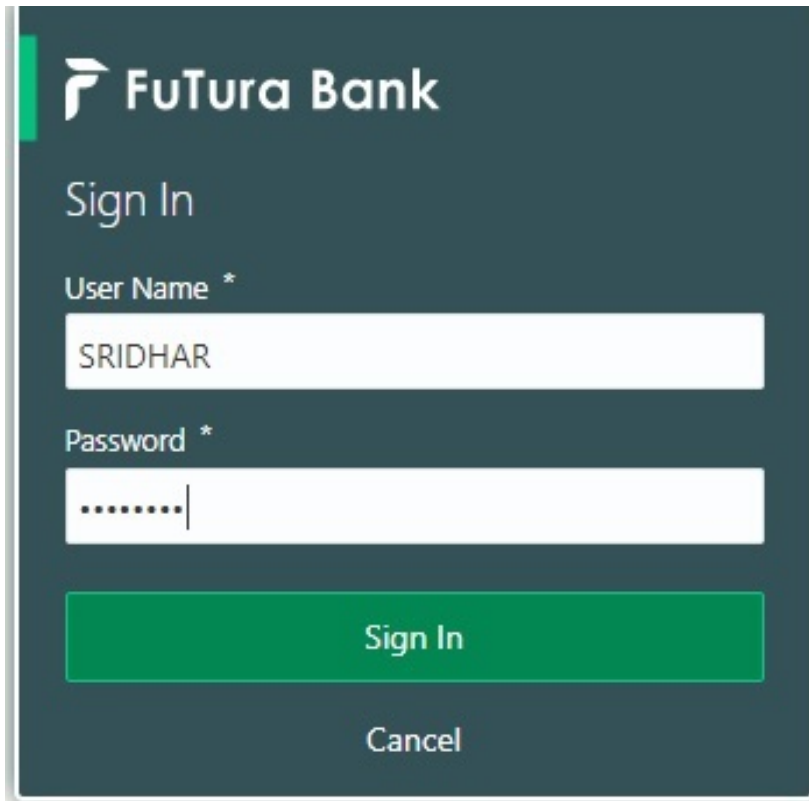


Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

Do the following steps to acquire a task which completed the Registration and currently at Data enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click **Trade Finance > Tasks > Free Tasks**.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	M	Guarantee Advise Inter...	300GTAI000038844	300GTAI000038844	DataEnrichment	20-11-17	300	001505
Acquire & E...	M	Import LC Issuance	300ILCI000038833	300ILCI000038833	Approval Task Level 1	20-11-15	300	001509
Acquire & E...	M	Guarantee Advise	000GTEA000038838	000GTEA000038838	Scrutiny	20-11-16	300	
Acquire & E...	M	Import LC Issuance	300ILCI000038831	300ILCI000038831	Scrutiny	20-11-14	300	001508
Acquire & E...	M	Import LC Issuance	300ILCI000038744	300ILCI000038744	Scrutiny	20-11-12	300	001506
Acquire & E...	M	Import LC Issuance	000ILCI000038829	000ILCI000038829	Scrutiny	20-11-14	300	000887
Acquire & E...	H	Import LC Issuance	300ILCI000038809	300ILCI000038809	Approval Task Level 1	20-11-12	300	001516
Acquire & E...	M	Import LC Drawing	300ILCD000038822	300ILCD000038822	Approval Task Level 1	20-11-13	300	001506
Acquire & E...	M	Import LC Amendment ...	300ILCA000038812	300ILCA000038812	Handoff RetryTask	20-11-12	300	001506
Acquire & E...	M	Import Documentary C...	300IDCU000038810	300IDCU000038810	Registration	20-11-12	300	001505
Acquire & E...	M	Import LC Issuance	000ILCI000038743	000ILCI000038743	DataEnrichment	20-11-12	300	000947
Acquire & E...	M	Import LC Issuance	300ILCI000038750	300ILCI000038750	Reject Approval	20-11-12	300	001506
Acquire & E...	M	Import LC Issuance	300ILCI000038739	300ILCI000038739	Handoff RetryTask	20-11-12	300	001506
Acquire & E...	M	Guarantee Advise	000GTEA000038840	000GTEA000038840	Approval Task Level 1	20-11-12	300	

4. Select the appropriate amendment task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Acquire & E...	M	Guarantee Advise Inter...	300GTAI000038844	300GTAI000038844	DataEnrichment	20-11-17	300	001505
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038833	300ILCI000038833	Approval Task Level 1	20-11-15	300	001509
<input type="checkbox"/> Acquire & E...	M	Guarantee Advise	000GTEA000038838	000GTEA000038838	Scrutiny	20-11-16	300	
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038831	300ILCI000038831	Scrutiny	20-11-14	300	001508
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038744	300ILCI000038744	Scrutiny	20-11-12	300	001506
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	000ILCI000038829	000ILCI000038829	Scrutiny	20-11-14	300	000887
<input type="checkbox"/> Acquire & E...	H	Import LC Issuance	300ILCI000038809	300ILCI000038809	Approval Task Level 1	20-11-12	300	001516
<input type="checkbox"/> Acquire & E...	M	Import LC Drawing	300ILCD000038822	300ILCD000038822	Approval Task Level 1	20-11-13	300	001506
<input type="checkbox"/> Acquire & E...	M	Import LC Amendment ...	300ILCA000038812	300ILCA000038812	Handoff RetryTask	20-11-12	300	001506
<input type="checkbox"/> Acquire & E...	M	Import Documentary C...	300IDCU000038810	300IDCU000038810	Registration	20-11-12	300	001505
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	000ILCI000038743	000ILCI000038743	DataEnrichment	20-11-12	300	000947
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038750	300ILCI000038750	Reject Approval	20-11-12	300	001506
<input type="checkbox"/> Acquire & E...	M	Import LC Issuance	300ILCI000038739	300ILCI000038739	Handoff RetryTask	20-11-12	300	001506

Page 1 of 3 (1 - 20 of 48 items) | K < 1 2 3 > X

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
<input checked="" type="checkbox"/> Edit	M	Guarantee Advise Inter...	300GTAI000038844	300GTAI000038844	DataEnrichment	20-11-17	300	001505	
<input type="checkbox"/> Edit		Guarantee Advise Inter...	300GTAI000038843	300GTAI000038843	Registration	20-11-17	300	001505	
<input type="checkbox"/> Edit		Guarantee Advise Inter...	300GTAI000038842	300GTAI000038842	Registration	20-11-17	300	001505	
<input type="checkbox"/> Edit	M	Guarantee Issuance	000GTEI000038837	000GTEI000038837	Scrutiny	20-11-16	300	000863	
<input type="checkbox"/> Edit	M	Guarantee Advise Amen...	300GTEA000038705	300GTEA000038705	DataEnrichment	20-11-11	300	001507	
<input type="checkbox"/> Edit	M	Guarantee Advise Amen...	300GTEA000038704	300GTEA000038704	DataEnrichment	20-11-11	300	001507	
<input type="checkbox"/> Edit	M	Export LCCancellation	300ELCC000038683	300ELCC000038683	DataEnrichment	20-11-10	300	001506	
<input type="checkbox"/> Edit		Export LC Drawing Upd...	300ELCU000038666	300ELCU000038666	Registration	20-11-10	300	001564	
<input type="checkbox"/> Edit		Export LC Drawing Upd...	300ELCU000038665	300ELCU000038665	Registration	20-11-10	300	001506	
<input type="checkbox"/> Edit		Export LC Drawing Upd...	300ELCU000038664	300ELCU000038664	Registration	20-11-10	300	001506	
<input type="checkbox"/> Edit		Export LC Drawing Upd...	300ELCU000038662	300ELCU000038662	Registration	20-11-10	300	001506	
<input type="checkbox"/> Edit		Export LC Drawing Upd...	300ELCU000038661	300ELCU000038661	Registration	20-11-10	300	001506	
<input type="checkbox"/> Edit		Export LC Drawing Upd...	300ELCU000038660	300ELCU000038660	Registration	20-11-10	300	001506	

Page 1 of 3 (1 - 20 of 47 items) | K < 1 2 3 > X

The Guarantee Advise Internal Amendment - Data Enrichment stage has three sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Summary

Let's look at the details for Guarantee Advise Internal Amendment - Data Enrichment stage.

User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

Refer to [Application Details](#) in the Registration section for more information of the fields.

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in [Registration](#). Refer to [Guarantee Details](#) for more information of the fields. User can Input/ update the fields except the Product Code field.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	

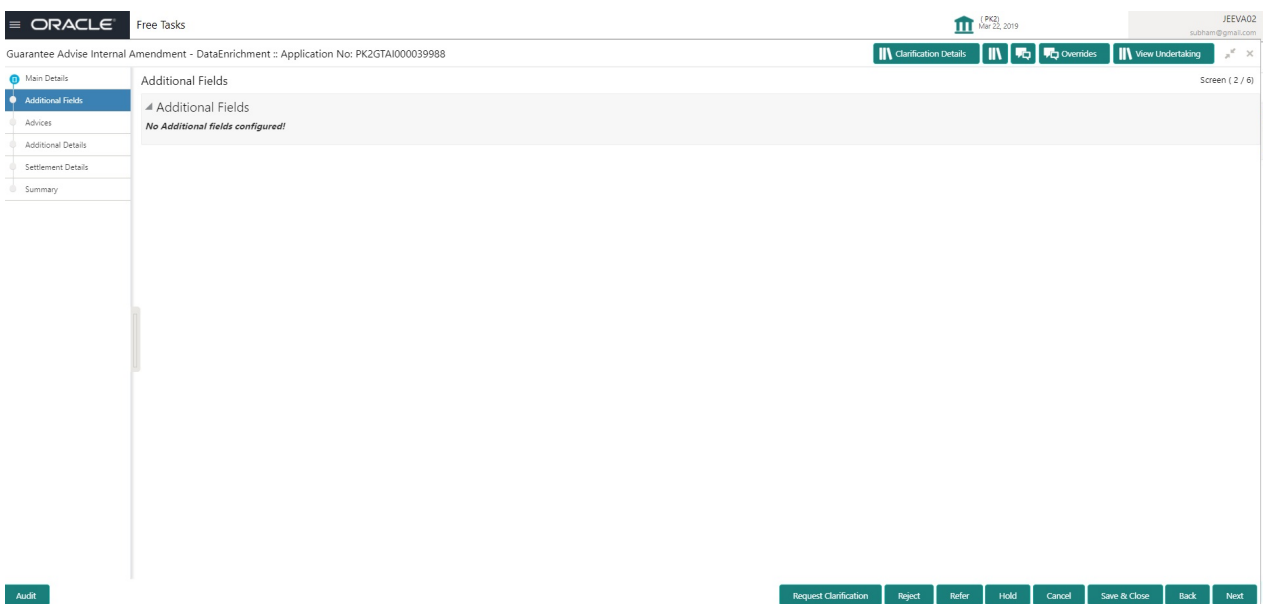
Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	<p>Cancel the details captured in the screen.</p>	
Next	<p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Clarification Details	<p>Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.</p>	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>	

Field	Description	Sample Values
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>	

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.



Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advise Amendment inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Advise Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	





Field	Description	Sample Values
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.	

Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level.

The user can also suppress the Advice, if required.

Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p>	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	

Field	Description	Sample Values
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Back	On clicking the Back, system should move the task to the previous segment.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	<p>Click the Documents icon to View/Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.</p>	
Overrides	<p>Click to view overrides, if any.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_ MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	<p>Clicking this button allows the user should to view the undertaking details.</p>	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>	

Additional Details

In the Additional details section, user can enter, update and verify the additional details Data Segment of Internal Amendment of Guarantee/ SBLC Advised request. User can change the values in Limits and Collateral section and Charges section.

Change to existing Line or cash collateral is applicable when the advising bank has also confirmed the SBLC and changes the details Issuing bank Line/ Cash Collateral.

Guarantee Advise Internal Amendment - DataEnrichment :: Application No: PK2GTAI00003554

Screen (4 / 6)

Limit & Collateral	Charge Details	Preview Message
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : Collateral : Contribution : Collateral Status :	Charge : Commission : Tax : Block Status :	Language : Preview Message : -

Audit

Reject Refer Hold Cancel Save & Close Back Next

Limit and Collateral

In this section user can to attach more than one line.

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Limits Details

Limits and Collaterals

Limit Details

<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	000327		100	USD	\$100.00			000327	

Cash Collateral Details

Collateral Percentage * Collateral Currency and amount Exchange Rate

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response	Response
1	GBP	PK1000327018	1.3	100	\$67.00	0	VS	The arr can be

Deposit Linkage Details

<input type="checkbox"/>	Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
<input type="checkbox"/>	PK2CDP1210860501	GBP	2022-03-27	GBP	199100	\$990.00	PK2CDP1210860501	

Page 1 of 1 (1 of 1 items)

Limit Details

Customer Id

Line ID *

Contribution % *

Limits Description

Contribution Currency

Contribution Amount *

Limit Currency

Limit Available Amount


Limit Check Response

Response Message

Expiry Date

Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	

Field	Description	Sample Values
<p>Limit Details</p> <p>Click + plus icon to add new limit details.</p> <p>Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.</p>		
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	<p>User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.</p> <p> Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p>	
Contribution%	<p>System will default this to 100% and user can modify. System will display an alert message, if modified.</p> <p>Once contribution % is provided, system will default the amount.</p> <p>System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.</p>	
Limits Description	Description of limit.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Contribution Amount	User can enter the contribution amount to be utilized under the selected limit.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	

Field	Description	Sample Values
Expiry Date	This field displays the date up to which the Line is valid	

Collateral Details

Collateral Details
✕

Total Collateral Amount *
\$67.00

Sequence Number
2.0

Collateral Contribution Amount *
\$67.00

Settlement Account Currency
GBP

Contribution Amount in Account Currency
£0.00

Response
VS

Verify

Collateral Amount to be Collected *
\$0.00

Collateral Split % *
100.0

Settlement Account *
PK1000327018

Exchange Rate
1.3

Account Available Amount
£99,999,393,343.91

Response Message
The amount block can be performed as:

✓ Save & Close
✕ Cancel

Provide the collateral details based on the description provided in the following table:

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	


Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Contribution Amount	Collateral contribution amount will get defaulted in this field. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'. System populates the response on clicking the Verify button.	

Field	Description	Sample Values
Response Message	Detailed Response message. System populates the response on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message. System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".	
Contribution Amount	This field displays the collateral contribution amount. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified".	
Account Balance Check Response	This field displays the account balance check response.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Commission, Charges and Taxes Details

After Advices, clicking on Next button and landing on the additional tab, charges and tax if any will get defaulted from Back end simulation. If default charges are available under the product, they should be

defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission,Charges and Taxes

Recalculate Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items) < 1 >

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
No data to display.										

Page 1 (0 of 0 items) < 1 >

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close Cancel

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	

Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	User can enter a new amount in 'Modified' field. This will be the new charge for the modified component.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	

Field	Description	Sample Values
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTfPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system. Tax details are defaulted from the back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	<p>If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	

Field	Description	Sample Values
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Billing	If tax are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Settlement Account	Details of the settlement account.	

Preview

The bank user can view a preview of the outgoing SWIFT message and advise simulated from back office.

The preview message simulated from the back office and the user can view the message.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Advice	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Draft Confirmation Required	This toggle enables the user to select if draft confirmation is required or not	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. 	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Next	Task will get moved to next logical stage of Guarantee Amendment Advise. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Back	On clicking the Back, system should move the task to the previous segment.	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	<p>Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.</p> <p>In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task.</p> <p>In case of MT798_ MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.</p>	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Signatures	<p>Click the Signature button to verify the signature of the customer/ bank if required.</p> <p>The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system.</p> <p>If more than one signature is available, system should display all the signatures.</p>	

Settlement Details

The screenshot shows the Oracle Settlement Details interface. At the top, there are navigation tabs: Clarification Details, Documents, Remarks, Overrides, Customer Instruction, Common Group Messages, Incoming Message, and View Undertaking. Below these, there are buttons for Signatures and Audit. The main area displays a table of settlement details with columns: Component, Currency, Debit/Credit, Account, Account Description, Account Currency, Netting Indicator, Current Event, Original Exchange Rate, Exchange Rate, and Deal Reference Number. The table contains 11 rows of data for various components like AVL_SET_ICAMT, CLAIM_CUST_AMT, and COLL_AMT_SEQ.

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference Number
AVL_SET_ICAMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AVL_SET_ICAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
CLAIM_CUST_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
CLAIM_CUST_AMT_FX	GBP	Debit	PK2003763016	CITIBANK IRELAND	GBP	No	No			
COLLAMT_OSEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
COLL_AMNDAMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes			
COLL_AMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
COLL_AMT_DECR	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	Yes			
COLL_AMT_INCR	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes			
COLL_AVALAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTf	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

Data Enrichment - Summary

User can review the summary of details updated in Data Enrichment Internal Amendment of Guarantee/ SBLC Advised request.

The Summary tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, User can drill down from Summary Tiles into respective data segments.

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can only view but cannot modify the details.
- Additional Fields – User can view the UDF maintained.
- Advices - User can view the advice details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Commission Charges and Taxes - User can view the details provided for charges. User can only view but cannot modify the details.
- Preview Messages - User can have the preview of message.
- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- Guarantee Details - User can view the Guarantee details.
- Additional Details - User can view the User Defined Field details. User can only view but cannot modify the details.
- Accounting Entries - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Request Clarification	User should be able to submit the request for clarification to the "Trade Finance Portal" User for the transactions initiated offline.	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Cancel	Cancel the Guarantee Advice Scrutiny inputs.	
Next	<p>Task will get moved to next logical stage of Guarantee Amendment Advise.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767. In case of MT798-MT726-MT759 request, user can view MT798 message(726-759) in this placeholder in Header of the task. In case of MT798_MT788-MT799 request, user can view MT798 message (788-799) in this placeholder in Header of the process-task.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Signatures	Click the Signature button to verify the signature of the customer/ bank if required. The user can view the Customer Number and Name of the signatory, Signature image and the applicable operation instructions if any available in the back-office system. If more than one signature is available, system should display all the signatures.	

Multi Level Approval

The Approval user can approve a Guarantee Advice Internal Amendment Transaction.

Log in into OBTFPM application and acquire the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

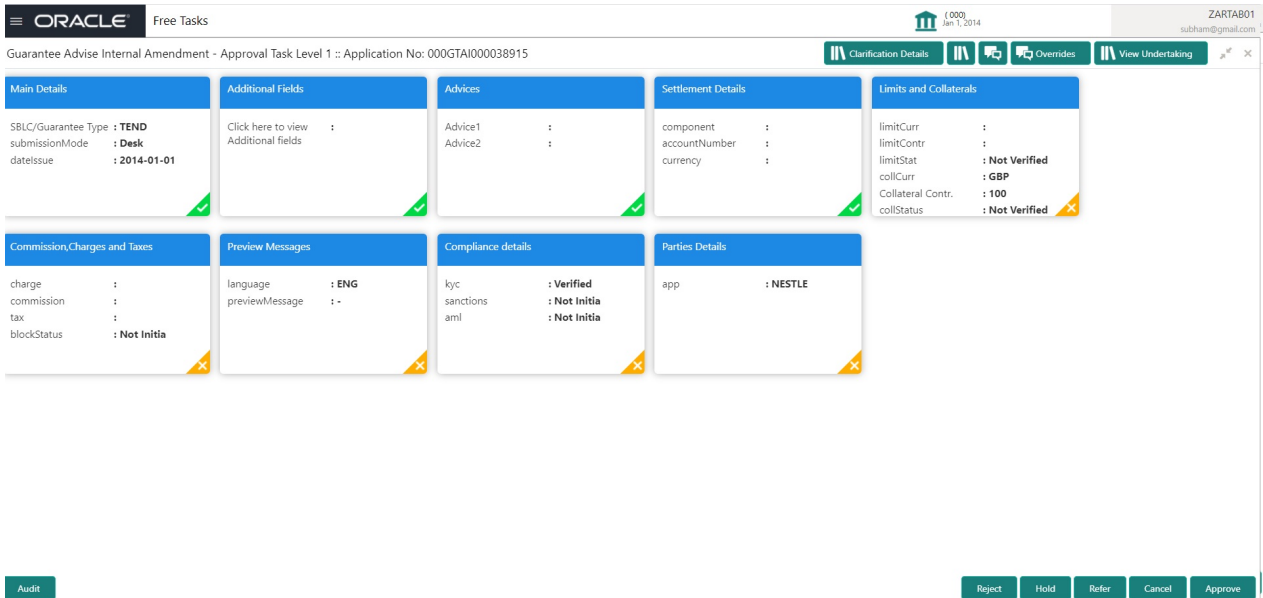


Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

The user should be able to view the Approval summary.

Summary



Tiles Displayed in Summary:

The tiles pertaining to the fields that are amended is highlighted in different color for the approver user.

- Main Details - User can view the application details and Guarantee/ Standby details. User can only view but cannot modify the details.
- Additional Fields – User can view the UDF maintained.
- Advices - User can view the advice details.
- Limits and Collaterals - User can view the limits and collateral details. User can only view but cannot modify the details.
- Commission Charges and Taxes - User can view the details provided for charges. User can only view but cannot modify the details.
- Preview Messages - User can have the preview of message.
- Party Details - User can view the party details like beneficiary, advising bank etc. User can only view but cannot modify the details.
- Guarantee Details - User can view the Guarantee details.
- Additional Details - User can view the User Defined Field details. User can only view but cannot modify the details.
- Accounting Entries - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others	
Cancel	<p>Cancel the Guarantee Advice approval.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

Handoff:

Once the task is Approved, the task is handed off to the back office (LCDGUONL) for postings. In the back office, the relevant accounting entries are posted, advises are generated, charges and tax to be collected are posted.

In case there is a failure in Handoff, the task goes to retry handoff queue. The user can manually try to initiate handoff.

A

Additional Details	20
Action Buttons	27
Limits & Collateral	22
Additional Fields	14
Action Buttons	14
Advices	16
Action Buttons	17
Application Details	5

B

Benefits	1
----------------	---

C

Charge Details	25
Commission	26
Commission Details	26
Common Initiation Stage	2
Action Buttons	3

D

Data Enrichment	9
Data Enrichment - Summary	28
Action Buttons	29

K

Key Features	1
--------------------	---

L

Limit and Collateral	21
Collateral Details	24
Limits Details	22

M

Main Details	12
Action Buttons	13
Application Details	12
Guarantee Details	12
Miscellaneous	8
Multi	31
Multi Level Approval	31
Action Buttons	32
Summary	31

O

Overview	1
----------------	---

P

Preview	19
Action Buttons	19

R

Registration	2, 3
Action Buttons	9
Application Details	5
Guarantee Details	6
Miscellaneous	8

T

Tax Details	27
-------------------	----

References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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